**Technology Start-up Support Scheme for Universities (TSSSU)**

**Application for Funding**

|  |  |
| --- | --- |
| *Reference number*  *(for ITC use):* |  |

|  |  |
| --- | --- |
| *Reference number*  *(for CUHK use):* |  |

**NOTES**

1. Section A to Section F should be completed by the person-in-charge of the start-up applying for TSSSU funding. Section G should be completed by the Head of the respective university unit responsible for TSSSU.
2. Please read the relevant guidelines from the associated university before completing this form.
3. All information provided in this form will be used for processing the application and related purposes by Innovation and Technology Commission (ITC) and the associated university. It may be disclosed to other Government bureaux/departments, statutory bodies or third parties for the above said purposes or required by law. This submission denotes that the start-up concerned and the university unit responsible for TSSSU have given explicit consent to such disclosure.
4. Details of this form will be reviewed by a vetting panel for screening purposes. Since representatives of Hong Kong Science and Technology Parks Corporation (HKSTPC) are among members of the panel, information in this application will be reviewed by HKSTPC for the consideration of its incubation programme.
5. Please tick the box as appropriate.

**SECTION A: The Applicant**

*(To be completed by the person-in-charge of the applicant company)*

1. **Our team is applying for –**

(a) the first year TSSSU‑O funding

(b) the second or the third year TSSSU‑O funding; reference number of last year’s application: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(c) the first year TSSSU+ funding

(i) funded by TSSSU‑O before; reference number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(ii) not funded by TSSSU‑O before

(d) the second or the third year TSSSU+ funding; reference number of last year’s application: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Particulars of the Applicant Team**

*(All fields below should be completed. Please type “N/A” for any information which cannot be provided or not applicable)*

|  |  |
| --- | --- |
| Name of Start-up (in English): |  |
| Name of Start-up (in Chinese): |  |
| The Associated University: |  |
| Date of Registration under the Companies Ordinance: |  |
| Company Address Registered with the Companies Registry: |  |
| Telephone Number: |  |
| Fax Number: |  |
| Email Address: |  |
| Webpage (if any): |  |

**(3) Composition of the Applicant Team**

1. **Person-in-charge of the Start-up[[1]](#footnote-2)**

|  |  |
| --- | --- |
| Name (in English)  Surname in CAPITAL letters: |  |
| Name (in Chinese): |  |
| In what way is the person-in-charge associated with the recommending university? | (a)  Undergraduate  (b)  Postgraduate  (c)  Professor[[2]](#footnote-3)  (d)  Faculty member  (e)  Alumnus[[3]](#footnote-4) |
| Academic Qualifications (e.g. Degree Awarded, Institute Awarding Qualification, Year of Award): |  |
| % of Shares Held in the Start-up (if any): |  |
| Telephone Number / Mobile Number: | / |
| Fax Number: |  |
| Email Address: |  |
| Correspondence Address: |  |

1. **Other Team Members***(Please add row(s)/separate sheet(s) if necessary)*

| No. | Name (in English)  Surname in CAPITAL letters | Name (in Chinese) | Is the team member associated with the recommending university? (Undergraduate/ Postgraduate(full time/part time)/ Professor/Research Staff(full time/part-time) Faculty Member/ Alumnus/ Not Associated) | Academic Qualifications (e.g. Degree Awarded, Institute Awarding Qualification, Year of Award) | % of Shares Held (if any) |
| --- | --- | --- | --- | --- | --- |
| 1. |  |  |  |  | % |
| 2. |  |  |  |  | % |
| 3. |  |  |  |  | % |
| 4. |  |  |  |  | % |

1. **Other Shareholders***(Not Covered in Section 3(b) above)*

| No. | Name (in English) | Name (in Chinese) | Involvement in Business(es)/Project(s) Supported by TSSSU in the Past Five Years (if any) | % of Shares Held |
| --- | --- | --- | --- | --- |
| 1. |  |  |  | % |
| 2. |  |  |  | % |
| 3. |  |  |  | % |
| 4. |  |  |  | % |
| **Total % of Shares Held by Entities in Section 3(a), (b) and (c)** | | | | **100%** |

1. **Declaration of Intellectual Property**

*(Please add separate sheet(s) if necessary)*

Please provide details of any CUHK IP (e.g. patents, copyright, design, trademark, knowhow, etc.) that the company intends to use. Please specify if the IP has been created by the PIC or any team members(s) of the company.

1. Non-confidential working title of the IP

*(Please quote the reference number, if any)*

|  |
| --- |
|  |

1. Nature of IP (e.g., patents, copyright, design, trademark, knowhow, etc.)

|  |
| --- |
|  |

1. Information of Inventor(s)/Author(s)/Designer(s)

*(Please add more rows if necessary)*

|  |  |  |  |
| --- | --- | --- | --- |
| English Name  Surname in CAPITAL letters | Position | Dept./Unit/Collaborating Organization | Affiliation with CUHK (Employee/Student/others) |
| (PI) |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

1. **Collaborating Parties in the R&D Work (if applicable)***(Please add separate sheet(s) if necessary)*

Any collaboration with other organisation(s), including the recommending university, in conducting the R&D work (e.g. licensing, research collaboration, clinical trial etc.)?

Yes  No (if no, please go to Section B direct)

If yes, please provide the following details –

1. the name of the organisation(s);

|  |
| --- |
|  |

1. the form of collaboration(s) (e.g. licensing of intellectual property rights, sharing of the royalties, etc.);

|  |
| --- |
|  |

1. special arrangements arising from such collaboration(s), e.g. licensing of intellectual property rights (if any);

|  |
| --- |
|  |

1. if the applicant team has entered into such collaboration, then please state the name of the agreement below and attach a copy in Section C; and

|  |
| --- |
|  |

1. information of the contact person(s) of the collaborating party(ies).  
   (*Please provide the contact of the liaison person in ORKTS if it is a licensing of IP from CUHK)*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| English Name and Chinese Name of the Collaborating Party | Role in the R&D Work | Address/ Webpage (if any) | Contact Person | Tel No./ Fax No./ Email Address |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

1. **Private Investor(s) (for applications under TSSSU+)***(Please add separate sheet(s) if necessary)*

Please provide the following details and attach copies of the documentary proofs as set out in Section C –

|  |  |  |  | Information of Contact Person | | |
| --- | --- | --- | --- | --- | --- | --- |
| Name of the Private Investor  Surname in CAPITAL letters, if applicable | Investment Amount in Cash (HK$) | % of Shares Held / to be Held in the Start-up | Date of Investment Payment Made / to be Made | English Name, Chinese Name and Post Title | Address/ Webpage (if any) | Tel No./ Fax No./ Email Address |
|  |  | % |  |  |  |  |
|  |  | % |  |  |  |  |
|  |  | % |  |  |  |  |

**SECTION B: The Business Proposal**  
*(To be completed by the person-in-charge of the applicant company)*

1. **Technology Area***(please tick as appropriate and insert an asterisk(\*) against ONE key technology area in case more than one technology area is indicated)*

|  |  |  |
| --- | --- | --- |
|  | Advanced Manufacturing/Process Development | |
|  | Automotive Parts and Accessories | |
|  | Biotechnology | |
|  | Chinese Medicine | |
|  | Electronics | |
|  | Energy | |
|  | Environmental Protection | |
|  | Information and Communication Technologies | |
|  | Logistics and Supply Chain Management | |
|  | Nanotechnology and Materials Science | |
|  | Testing and Certification | |
|  | Textile/Clothing/Footwear | |
|  | Others (please specify): |  |

1. **Industrial Sector** *(please tick as appropriate and insert an asterisk(\*) against ONE key industrial sector in case more than one industrial sector is indicated)*

|  |  |  |  |
| --- | --- | --- | --- |
|  | Banking/Financial Market/ Fund Management/Insurance |  | Materials |
|  |  | Medical Equipment |
|  | Biotechnology |  | Precision Engineering |
|  | Chinese Medicine |  | Printing and Publishing |
|  | Construction |  | Professional Services |
|  | Electrical and Electronics |  | Real Estate/Property Management |
|  | Energy |  | Telecommunications |
|  | Environmental |  | Testing and Certification |
|  | Food and Beverage |  | Textiles/Clothing/Footwear |
|  | General (Cross Sectors) |  | Tourism |
|  | Import and Export Trade |  | Transportation |
|  | Information Technology |  | Wholesale and Retail |
|  | Logistics and Communication Technologies |  | Others (please specify): |
|  |  |  |
|  | Manufacturing Engineering |  |  |

1. **Brief Description of Business** *(Please add separate sheet(s) if necessary)*

*(For applicant applying for the first year TSSSU‑O / TSSSU+ funding, please complete Sections B(3)(a) and (3)(c) below. For applicant applying for continued TSSSU‑O / TSSSU+ funding, please complete Sections B(3)(b) and (3)(c).)*

1. *(Applicant applying for the first year TSSSU‑O / TSSSU+ funding)*
2. overview of the start-up;

|  |
| --- |
| *(in Chinese) (Not more than 200 words)* |
|  |
| *(in English) (Not more than 150 words)* |
|  |

1. technology(ies) involved;

|  |
| --- |
| *(in Chinese) (Not more than 200 words)* |
|  |
| *(in English) (Not more than 150 words)* |
|  |

1. proposed product(s) and/or service(s) and target market(s); and

|  |
| --- |
| *(in Chinese) (Not more than 300 words)* |
|  |
| *(in English) (Not more than 200 words)* |
|  |

1. product development and/or commercialisation plan/progress (including revenue received, capital/money raised, and renowned awards received, if any).

|  |
| --- |
| *(in Chinese) (Not more than 200 words)* |
|  |
| *(in English) (Not more than 150 words)* |
|  |

1. *(For applicant applying for continued TSSSU‑O / TSSSU+ funding)*

Please provide updates/key differences in the business compared with that of last year’s application –

1. progress of product development, commercialisation, and/or market expansion compared with last year (including revenue received, capital/money raised, and significant awards received, if any); and

|  |
| --- |
| *(in Chinese) (Not more than 300 words)* |
|  |
| *(in English) (Not more than 200 words)* |
|  |

1. new proposed product(s) and/or service(s) and/or target market(s) (if any).

|  |
| --- |
| *(in Chinese) (Not more than 300 words)* |
|  |
| *(in English) (Not more than 200 words)* |
|  |

1. *(For all applicants)*

Admission into any Incubation and/or Acceleration Programme(s) (e.g. Hong Kong Science and Technology Parks Corporation, Cyberport, etc.) (if any)

| Name of Incubator/ Accelerator and the Incubation/ Acceleration Programme | Period of the  Incubation/Acceleration Programme (dd/mm/yyyy- dd/mm/yyyy) | Additional Grant Approved by the Incubator/ Accelerator (HK$) |
| --- | --- | --- |
|  |  |  |
|  |  |  |
|  |  |  |
|  | **Total** |  |

1. **Milestones within the 20\_\_-\_\_ Government Financial Year**

Please set out the milestones of the proposed business and R&D work to be achieved.

| Period | | Milestones |
| --- | --- | --- |
| From (dd/mm/yyyy) | To (dd/mm/yyyy) |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

1. **TSSSU Funding Being Applied for**

|  |  |  |
| --- | --- | --- |
| **Grand total (HK$):** |  | (For TSSSU-O, capped at 700,000) |
|  | (For TSSSU+, capped at 1,500,000) |

Please provide details of the estimated expenditure items in the following tables. All fields below should be completed. For the scope of funding, please refer to the guidelines and terms prescribed by CUHK.

1. **Manpower***Please separate salary and Mandatory Provident Fund (MPF)\* into two items for each employee if MPF is to be included as the estimated expenditure item**(Please add more rows if necessary)*

| Post/Rank  *(please indicate if the employee is a founding member/ non-founding member)* | No. of Staff | Duration (man- months) | Monthly Rate or Equivalent (HK$) | Total(HK$) | Justifications  *(please include the job duties, academic qualification and/or relevant experience required)* |
| --- | --- | --- | --- | --- | --- |
|  | (A) | (B) | (C) | (A)x(B)x(C) |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
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|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  | **Sub-total** |  |  |

1. **Equipment**(Please add more rows if necessary)

| Key Equipment  (e.g. computer and accessories, server, mobile device etc.) | Quantity and Unit (e.g. set, piece, litre) | Unit Cost(HK$) | Total(HK$) | Justifications |
| --- | --- | --- | --- | --- |
|  | (A) | (B) | (A)x(B) |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  | **Sub-total** |  |  |

1. **Other Direct Costs***Please note that appropriate items to be put under “Other Direct Costs” include, but not limited to, the following: (i) promotion and marketing costs (e.g. exhibition, online marketing etc.); (ii) expenditure on R&D (e.g. reagents, prototype, licensing fees or royalties due to CUHK etc.); and (iii) essential items for setting up and operating the start-up (e.g. broadband service fee, office furniture, legal and accounting services, rental of necessary and suitable premises etc.).*   
   *(Please add more rows if necessary)*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Item | Quantity  and Unit (e.g. month, no. of service contract, no. of campaign) | Unit Cost(HK$) | Total(HK$) | Justifications  *(Please list out cost items such as prototype, general business expenses etc.)* |
|  | (A) | (B) | (A)x(B) |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  | **Sub-total** |  |  |

*\* Please include the staff cost required to reimburse CUHK through TSSSU for the time engagement in the company by any CUHK non-teaching team member(s) during the University’s usual operating hours (i.e., Monday to Friday), if any. Please consult the Finance Office through ORKTS for the calculation of the reimbursement amount.*

**SECTION C: Supporting Documents***(to be completed by the person-in-charge of the applicant company)*

Please enclose the following documents as required in the previous sections -

1. agreement between the applicant and the collaborating organisation(s) on the sharing of the royalties or intellectual property rights or any other sorts of income to be generated from the applicant (if any);
2. investment agreement / undertaking issued by the private investor(s) on details of the cash contribution and if available, returns to Company Registry showing the latest shareholding position of the start-up and proofs of cash injection (for TSSSU+);
3. CVs (max 4 pages each) of all team members (a template is provided at Annex A(i));
4. the Certificate of Incorporation under the Companies Ordinance;
5. the Business Registration Certificate (date of expiry should be after Feb 2023); and
6. the business proposal -
   1. *(for applicant applying for the first year TSSSU‑O / TSSSU+ funding)* the full business proposal; *or*
   2. *(for applicant applying for the second or the third year TSSSU‑O / TSSSU+ funding)* supplementary information and/or documents related to information provided in Section B(3)(b), if any.

**SECTION D: Supplementary Information***(to be completed by the person-in-charge of the applicant company)*

Any previously related project(s) undertaken by the applicant and/or its team members in the past five years and supported by the Innovation and Technology Fund (ITF), including TSSSU?

Yes  No (if no, please go to Section E direct)

If yes, please briefly describe the related project(s), provide the ITF reference number(s) and title(s), and confirm whether there involves double payment for the same part of expenditure item(s).

|  |  |  |
| --- | --- | --- |
| ITF Project title and related reference number(s) | Brief description of the project | Involves double payment for the same part of expenditure item(s) budgeted in TSSSU? |
|  |  | Yes       No |
|  |  | Yes       No |
|  |  | Yes       No |
|  |  | Yes       No |
|  |  | Yes       No |

**Section E: Internal Signatures**

For CUHK student/alumnus (PIC)

The PIC named \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ is an undergraduate student/ postgraduate student/ alumnus\* of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_.programme. The year of graduation will be/is\* \_\_\_\_\_\_\_\_\_\_\_\_\_\_.

\*Please delete as appropriate.

For student/alumnus PIC, please provide a copy of student ID card/graduation certificate for verification.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  | Approved and endorsed by: |
| Name of Department Chairperson |  | Department |  | Signature  Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_   (dd/mm/yyyy) |
| For CUHK staff  Person-in-charge or a team member who is a full-time CUHK staff member should each file a completed Appendix (see page 23 to 26) to support the application. | | | | |

**SECTION F: Declaration by the Applicant***(To be completed by the person-in-charge of the applicant company)*

I/We hereby declare that:

1. this application for TSSSU funding is submitted by *(name of the start-up)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_;
2. the private investor(s) as stated in Section A(3)(f) is/are independent third-party/parties (for TSSSU+ only); and
3. all factual information provided in this application as well as the accompanying information accurately reflects the status of affairs as at the date of submission. I/We shall inform and seek prior approval from the respective university immediately if there are any subsequent changes to the above information during the application stage.
4. all the information provided in the form, particularly on intellectual properties, is true and correct. The applicant understand that any false information provided in the form will result in ineligibility or cancellation of the TSSSU support, and the University reserves the right to claim loss and damage against the applicants caused by such false statement.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Authorised Signature with Company Chop | : |  |  | - Chop - |
| Name of Person-in-charge | : |  |  |
| Name of Company | : |  |  |
| Date (dd/mm/yy) | : |  |  |

**SECTION G: Recommendation by the University***(To be completed by the Head of the respective university unit responsible for TSSSU)*

Please tick the appropriate box to indicate whether the university recommends the start-up to be funded under TSSSU‑O / TSSSU+.

I/We RECOMMEND the application from *(name of the start-up)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ for a total funding of HK$\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ under TSSSU‑O / TSSSU+. Our assessment is as follows –

|  |  |  |
| --- | --- | --- |
| Assessment Criteria | Assessment | Scores / Maximum Scores |
|  |  | / |
|  |  | / |
|  |  | / |
|  |  | / |
|  |  | / |
|  | ***Total Scores*** *(if applicable)* | / |

(For TSSSU+ only) I/We also CONFIRM that the private investment(s) as stated in Section A(3)(f) is/are cash contribution(s) to the start-up and the arrangement(s) is/are not loan in nature, without interest-bearing on the start-up, and does/do not require the start-up to repay the principal in cash to the investor.

I/We also have the following observations about the start-up -

|  |
| --- |
|  |

I/We DO NOT RECOMMEND *(name of the start-up)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ to be funded under TSSSU‑O / TSSSU+. (Note: In this case, the application form needs not to be provided to ITC.)

|  |  |  |
| --- | --- | --- |
| Signature | : |  |
| Name | : |  |
| Post Title | : |  |
| Tel. No. | : |  |
| E-mail | : |  |
| Date (dd/mm/yy) | : |  |

**CURRICULUM VITAE**  
**(Maximum Four Pages)**

**Annex A(i)**

All information provided in this form will be used for processing the application and related purposes by Innovation and Technology Commission (ITC) and the associated university. It may be disclosed to other Government bureaux/departments, statutory bodies or third parties for the above said purposes or required by law. This submission denotes that the start-up and its team members concerned as well as the university unit responsible for TSSSU have given explicit consent to such disclosure.

**Personal Particulars**

|  |  |  |
| --- | --- | --- |
| Name in English | : | [Title] [First name here] [Last name here] |
| Name in Chinese | : |  |
| Position held in Applicant Company | : |  |
| Affiliation with Recommending University (if applicable, e.g. undergraduate/‌postgraduate, alumnus, professor/faculty member – please specify the post title) | : |  |
| Faculty/Department in Recommending University (if applicable) | : |  |
| Tel No | : |  |
| E-mail Address | : |  |

**Academic/Professional Qualifications** (in chronological order)**:**

**Working Experience** (in chronological order)**:**(Project management experience, if any, should be included)

**Publications** (maximum five publications related to the application)**:**

**Intellectual Property Rights (e.g. patents, copyrights, etc) Owned:**

**For completion by the Person-in-charge and each member of the TSSSU-O/TSSSU+ Applicant Team who is a full time CUHK staff** (Please make copies of this form for each individual staff applicant). Enquiries pertaining to the completion of this Appendix may be addressed to the **Human Resources Office (Ms. Kate Leung at 3943 5501 / Ms. Selinia Ng at 3943 7286).**

(Internal use)

**Appendix**

*(please tick* 🗹 *as appropriate)*

|  |  |
| --- | --- |
| 🞎 | Person-in-charge |
| 🞎 | Team member |
| TSSSU funding period under application: 1 April 2023 to 31 March 2024 | | | | |
| Name: | | | | Employee ID: |
| Department: | | | | Post: |
| Email: | | | | Tel.: |
| **A. Proposed Engagement**  1. Name of the proposed technology startup:   Capacity/Post title in the proposed technology start-up (if any):    2. Type(s) of work/activities to be undertaken:      3. Usual place of work: 🞎 The company premises/office  🞎 CUHK premises, please specify & complete item 9 in Section B below:  🞎 Others, please specify: | | | | |
| 4. Expected beneficial outcome(s) to Faculty/Department: | | | | |
| 5. Estimated time involvement: hours per week within the University's usual operating hours (UOH) i.e. Mon to Fri (capped at 8 hours per week); hours per week outside UOH  6. Please state the usual time pattern (e.g. Saturday, 9:00 am - 1:00 pm):    7. [Applicable to a **non-teaching member** who has involvement in the company during the University’s usual operating hours (i.e. Mon to Fri)]  (Please tick 🗹 both to confirm understanding)  🞎 I understand that the company will reimburse CUHK the corresponding staffing cost to the “appointment funding source” of the staff concerned. The reimbursed staffing cost of each non-teaching member should be listed as a separate item of “Other Direct Costs” in 5(c) of this Application. The staff concerned should seek the department’s prior agreement for the proposed time involvement before presenting himself/herself for the TSSSU application.  🞎 I understand and agree that the time release will end soon after the company is no longer funded by   TSSSU. | | | | |
| **B. Declaration and Undertaking (to be completed by staff applicant)**  (Pleasetick 🗹 as appropriate*.*)   1. My engagement in the Company may involve the use of data and/or information of previous/current work and/or project(s) at the University: 🞎No 🞎Yes If yes, please elaborate:   Please seek your Department’s agreement for the proposed use of data/information and set out the proposed charges below:  Proposed charges: $ or % of remuneration & Cost Centre/Project to be credited:   1. There will be special equipment/facilities/office or lab space of the Department used or other direct costs to the University: 🞎No 🞎Yes If yes, please elaborate: (University/Department resources):     Please seek your department’s agreement for the proposed use of resources and set out the proposed charges below:  Proposed charges: $ or % of remuneration & Cost Centre/Project to be credited:  (Note: For special equipment/facilities funded by block grant, the above amount recovered should be credited to the Department’s/Unit’s one-line budget. For those funded by private fund sources, please specify the project code to be credited.) | | | | |
| **C. Confirmation and Acknowledgment (to be completed by staff applicant)**  Please tick 🗹 all to indicate confirmation and acknowledgment:  🞎 I confirm that I have read and fully understood the University Regulations Governing Outside Practice and Outside Business Activity.  🞎 I confirm that my engagement in the proposed engagement will not adversely affect my University duties or cause disruption to the operation of the Department/Unit. I shall apply for leave to conduct the activity as required under the relevant University Regulations Governing Outside Practice and Outside Business Activity.  🞎 I confirm that complete and accurate information has been provided in this submission to the best of my knowledge.  🞎 I hereby acknowledge and confirm my proposed engagement in the Company as an individual in my own personal capacity, and not in any respect as a servant, employee or agent of, or on behalf of the University and that the engagement by me is outside the scope of my employment with the University. In consideration of the University releasing me to act for the Company, I acknowledge and agree that no liability whatsoever arising out of my undertaking of the said engagement may be attached to the University at any time and I undertake not to make any claims, demands, law suits whatsoever against or involving the University in respect of or in connection with my undertaking of the said work in any circumstances whatsoever.  Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Signature:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | |
| **D. Advice and Endorsement by Department Chair/Unit Head**   1. I am involved/have financial interests in the proposed Company: 🞎 No 🞎Yes   If yes, you may not be in a proper position to consider/endorse this TSSSU application. The Dean of Faculty/Supervising Officer should serve as the endorsement authority. If you consider yourself in an appropriate position to make the recommendation, please provide an account and continue with the rest of this section.   1. The appointee has already served full-load teaching/duties. The proposed OP/OBA for the Company is undertaken in addition to and on top of the appointee’s University’s duties: 🞎Yes 🞎No   If no, please elaborate and advise on the arrangement on making up the teaching/duties:   1. I am agreeable to the relevance of the proposed Company as advocated by the University’s funding initiative concerned and the expected beneficial outcomes stated by the appointee: 🞎Yes 🞎 See remarks below:   \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_   1. I am agreeable to the proposed time involvement and confirm that proper arrangements can be made to cover the appointee’s teaching and other duties: 🞎Yes 🞎See remarks below:   Remarks:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_    [Note: In respect of a non-teaching member of the applicant team who may have involvement in the Company during the University’s usual operating hours (i.e. Mon to Fri), the Company must reimburse CUHK the corresponding staffing cost to the “appointment funding source” of the staff member concerned. The reimbursed staffing cost of each non-teaching member through TSSSU should be listed as a separate item of “Other Direct Costs” in section 5(c) of this Application.]   1. (Applicable if items 8 and/or 9 under “Declaration and Undertaking” completed by the staff applicant above is/are indicated “Yes”)   The particulars of the proposed use of university and/or department resources indicated in items 8 and/or 9 under “Declaration and Undertaking” completed by the staff applicant above as mutually agreed between the Department and the staff applicant is confirmed: 🞎Yes 🞎 No  If no, please state the alternative arrangement and/or charges as newly agreed with the appointee below:  Proposed charges: $ or % of remuneration & Cost Centre/Project to be credited:  (Note: For special equipment/facilities funded by block grant, the above amount recovered should be credited to the Department’s/Unit’s one-line budget. For those funded by private fund sources, please specify the project code to be credited.)   1. Based on the information submitted by the appointee and my above comments, I indicate my endorsement or otherwise below:   🞎Endorsed 🞎Not endorsed  Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Name in block:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Signature:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | |
| **E. To be completed by Faculty Dean/Supervising Officer (or the Provost in case Faculty Dean/Supervising Officer is the endorsement authority)**  Application for engagement in the TSSSU company is ⬜ approved. ⬜not approved.  Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Name in block:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Signature:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | |

\* The TSSSU application guidelines can be downloaded on

<http://www.orkts.cuhk.edu.hk/knowledge-transfer-initiatives/events>

\* Late submission of this form will NOT be accepted.

1. The status of the applicant is defined at the time of application. A team member who is an academic staff member and also an alumnus/alumna will be considered a staff member (instead of an alumnus/alumna). [↑](#footnote-ref-2)
2. Research staff cannot serve as PIC. All CUHK full-time staff must file a complete Appendix on page 23-26 with necessary endorsement. [↑](#footnote-ref-3)
3. The alumnus should be a graduate for no more than 3 years as of 1 Feb 2023, unless the alumnus is an awardee of the last round. [↑](#footnote-ref-4)